



OPERATIONAL RISK

NAVIGATING RAPID CHANGES

Operational risk occurs in many different areas in a financial institution.

This is the risk to current or anticipated earnings or capital arising from misconduct or errors of people, inadequate systems or processes, and adverse external events.

This one-day workshop will better inform directors and senior management about how this risk occurs and what can be done to mitigate the risk.

Register to attend this one-day workshop for \$99.

DON'T DELAY. REGISTER TODAY!

The workshop is limited to the first 35 directors and senior managers who register.

\$99 COST INCLUDES:

- Workshop Materials
- OCC's Director's Book
- Lunch

\$99

UPCOMING WORKSHOPS

CHARLOTTE, NC*
June 5, 2024

KANSAS CITY, MO*
September 25, 2024

TAMPA, FL*
October 9, 2024

BOSTON, MA*
October 23, 2024

NEW YORK, NY*
TBD



Register at occ.gov/occworkshops



Work with and learn from experienced OCC examiners who lead this workshop, which includes discussions of best practices, lectures, and hands-on exercises designed for directors and senior management of national community banks and federal savings associations.



Define major operational risks and the related key operational risk management processes.



Discuss business continuity and resiliency concepts and share “best practices” learned during the COVID-19 pandemic.



Discuss and share “best practices” regarding the structure, organization, and oversight of a risk based audit program.



Understand the increased volume and sophistication of cyber threats and regulatory expectations for addressing cyber threats.



Outline common methods and motives of internal fraud and discuss the role of the board and senior management in establishing a strong ethical culture.



Understand the oversight roles and governance responsibilities of the board and senior management.



Review methods of oversight of third-party vendors that provide support to operational and business functions.

- * Consecutive session with the Compliance Risk and/or Credit Risk Workshops. Participants may attend one or more of these sessions.



Register at

occ.gov/occworkshops



After registration is confirmed, call the hotel or book online to reserve your room. Tell the hotel you are attending the OCC Community Bank Director and Senior Management Workshop.

If you encounter difficulties in making reservations, select Contact Us on the registration page for assistance.